

HE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Nemerow et al.

Serial No.:

09/903,327

Filed:

July 10, 2000

For:

BIFUNCTIONAL MOLECULES AND

VECTORS COMPLEXED

THEREWITH FOR TARGETED GENE DELIVERY

Art Unit:

1632

I hereby certify that this paper and the attached papers are being deposited with the United States Postal Service as first class mail in an

envelope addressed to: Commissioner for Patents,

P.O. Box 2327

Arlington, VA 22202, on this date.

06/18/2002

Date

Pohert Wickman

REQUEST FOR CORRECTED FILING RECEIPT

RECEIVED

C(CIPS

U.S. Patent & Trademark Office P.O. Box 2327 Arlington, VA 22202

Sir:

JUL 0 5 2002

TECH CENTER 1600/2900

Attached is a copy of an official (corrected) filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

Please change the ATTORNEY OF RECORD information to read as follows:

STEPHANIE SEIDMAN HELLER EHRMAN WHITE & MCAULIFFE LLP 4350 LA JOLLA VILLAGE DRIVE, 7th FL. SAN DIEGO, CALIFORNIA 92122-1246

Applicants respectfully request that this error be corrected and that the Applicant(s) be provided a new Official Filing Receipt.

U.S.S.N. 09/903,327 Nemerow et al. REQUEST FOR CORRECTED FILING RECEIPT

The Commissioner is hereby authorized to charge any fee, including that submitted herewith if the attached check(s) is in the wrong amount or otherwise improper or missing, that may be due in connection with this and the attached papers, or with this application during its entire pendency to or to credit any overpayment to Deposit Account No. 50-1213. A duplicate of this sheet is enclosed.

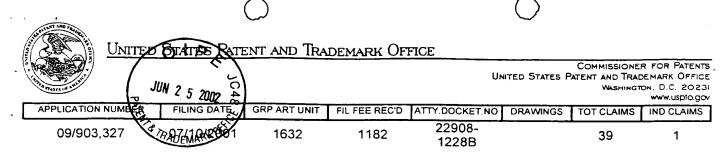
Respectfully submitted, HELLER EHRMAN WHITE & McAULIFFE LLP.

By:

Stephanie Seidman Registration No. 33,779

SLS/rmw
Attorney Docket 22908-1228B
Address all correspondence to:
HELLER EHRMAN WHITE & McAULIFFE LLP
4350 La Jolla Village Drive, 7th Floor
San Diego, California 92122-1246
Telephone: (858) 450-8400

Facsimile: (858) 587-5360 E-mail: sseidman@HEWM.com



24961 HELLER EHRMAN WHITE & MCAULIFFE LLP -4250 EXECUTIVE SQ- 9/350 En Jolla Village Dr. 7TH FLOOR LAJOLLA, CA 92037 San Diego, CA 92122-1246

CONFIRMATION NO. 7374 **CORRECTED FILING RECEIPT**

Date Mailed: 05/30/2002

RECEIVED

JUN 1 1 2002

HELLER EHRMAN

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an err r is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing R ceipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the chang s noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Glen R. Nemerow, Encinitas, CA; Erguang Li, San Diego, CA;

Assignment For Published Patent Application

The Scripps Research Institute;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/325,781 07/10/2000 ABN

Foreign Applications

If Required, Foreign Filing License Granted 08/30/2001

Projected Publication Date: 09/05/2002

Non-Publication Request: No

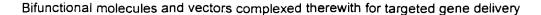
RECEIVED

JUL 0 5 2002

TECH CENTER 1600/2900

Early Publication Request: No

Title



Preliminary Class



LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

RECEIVED

JUL 0 5 2002

TECH CENTER 1600/2900





UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS United States Patent and Trademark Office Washington, D.C. 2023i www.uspto.gov

CONFIRMATION NO. 7374



SERIAL NUMBE 09/903,327	FILING DATE 07/10/2001 RULE	CLASS 435	GROUP ART			ATTORNEY DOCKET NO. 22908-1228B	
Erguang Li, \$ ** CONTINUING DA THIS APPLN ** FOREIGN APPL	nerow, Encinitas, CA; San Diego, CA; ATA ***********************************)/325,781 07/10/2000 <i>/</i> ****	ABN				
Foreign Priority claimed 35 USC 119 (a-d) condition met Verified and Acknowledged	Allowance	er STATE OR COUNTRY	SHEETS DRAWING 0			INDEPENDENT CLAIMS 1	
	I WHITE & MCAULIFFE LL LLAGE DRIVE, 7th FL.	.P					
TITLE Bifunctional molecu	ules and vectors complexed	d therewith for targeted	d gene delivery				
RECEIVED No	EES: Authority has been given in Paper b to charge/credit DEPOSIT ACCOUNT b for following:			☐ All Fees ☐ 1.16 Fees (Filing) ☐ 1.17 Fees (Processing Ext. of time) ☐ 1.18 Fees (Issue) ☐ Other ☐ Credit			